

24th Annual Pharmaceutical and Medical Device Ethics and Compliance Congress

October 25-27, 2023

And Featuring a Virtual Pharma and Med Device Global Ethics and Compliance Day
With Updates from Around the World

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Annual Chief Compliance Officer Fireside Chat

October 25, 2023
5:00 p.m. Eastern

Today's Panel



Paul J. Silver - Moderator
*Principal, Regulatory &
Compliance Leader*
Deloitte & Touche LLP



Michael R. Clarke, JD, CCEP
*Deputy General Counsel and Global
Chief Compliance Officer*
convatec



Melissa Lozner, JD
*Senior Vice President and Chief
Compliance Officer*
Regeneron



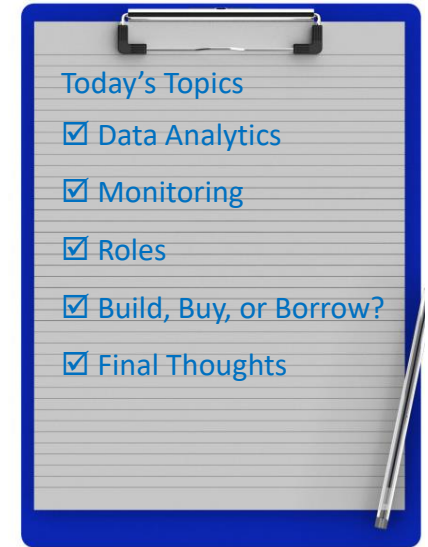
Anisa Dhalla
*Chief Ethics and Compliance
Officer*
UCB



Jake DeBoever, JD
*Vice President and Chief
Compliance Officer*
Dermavant Sciences

Today's Topics

- Demystifying Data Analytics and AI
- Measuring 3rd Party Vendor Diligence & Monitoring Effectiveness
- Evolution of New Customer-Facing Roles
- Advancing Compliance: Build, Buy, or Borrow?
- Final Thoughts – What Legacy do you want to leave?



Audience Polling #1

Your company is:

A. Pharmaceutical

B. Medical Device

C. Biotech

D. A & B

E. A&C

F. B&C

G. A, B, and C

H. None of the above



Audience Polling #2

Your company's revenue is:

- A. Less than \$1B
- B. \$1B to \$5B
- C. \$6B to \$10B
- D. \$11B to \$20B
- E. More than \$20B



Audience Polling #3

How many people are in your compliance department?

- A. 1 to 5
- B. 6 to 10
- C. 11 to 15
- D. 16 to 25
- E. 26 to 35
- F. 36 to 50
- G. Over 50



Audience Polling #4

What is your tenure at your company?

A. 1 to 5 years

B. 5 to 10 years

C. 10 to 15 years

D. 15 to 20 years

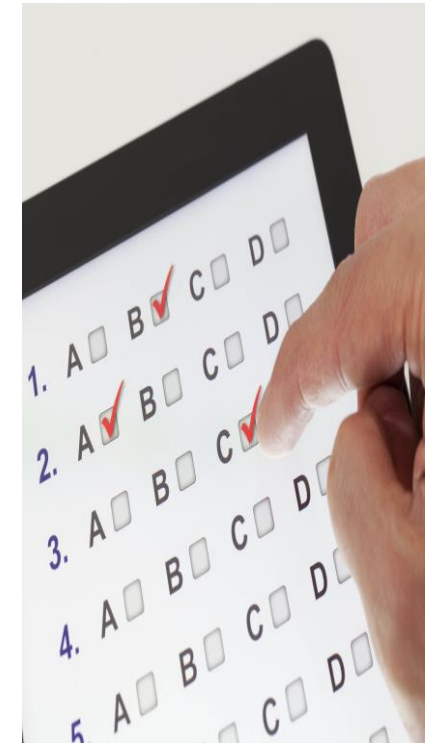
E. Too many years to remember



Audience Polling #5

Where does your company's compliance department reside?

- A. Stand alone department
- B. Legal
- C. Finance
- D. Other
- E. None of the above



Demystifying Gen AI and AI

- Using closed GenAI systems for research/drafting or updating documents (SOPs, job descriptions) with internal corporate data?
- How much is your department taking a lead role or just having a seat at the table in managing GenAI?
- What use cases in AI are you contemplating for compliance or supporting working in conjunction with the business?
- Are you considering basic AI or GenAI (like ChatGPT)?

Third Party Vendor Diligence & Monitoring

- What roles does compliance have in vendor diligence; if not your team, who does it?
- Any trends you may be seeing?—ESG/Human rights issues
- How to enhance positive culture with the business and monitor them too?
- Any concerns/feedback from HCPs related to being monitored?

Evolution of External-Facing Roles

- Intersection of medical-commercial – how should we help?
- Do you see Compliance getting more involved in strategy?
- Changes you see on the horizon?
- Can you look to recognize compliance success while new customer roles evolve?

Advancing Compliance: Build, Buy, or Borrow?

- How do you see resources or technology limitations impacting your team's ability to support the needs of the business? How do you feel with fluctuating resource demands?
- Areas that you want to build—how do we upskill current staff to deal with more ambiguity and complexity?
- Areas that you want to buy--do you have the buy-in and approvals to add resources? How do you overcome pushback? What are the skills that you think are critical in the next two years?
- What should be considered to borrow or outsource certain programs you want to evolve?

Final Thoughts:

As the CCO, what legacy do you want to create for:

Your Company

Your Team

The Profession of Compliance

Thank you!

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